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#3 - 1411/19

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s)

Naoki TAKIZAWA et al.

Serial No.

09/992,540

File Date

November 6, 2001

Title

MAGNETIC RECORDING MEDIUM AND MANUFACTURING

METHOD THEREFORE

Art Unit

3729

Examiner:

Docket No.

M1971-105

CERTIFICATE UNDER 37 CFR 1.8(a)

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as first class mail in an envelope addressed to: Hon. Commissioner for Patents, Box: Missing Parts, Washington D.C. 20231 on:

Date

71101-h 4,2002

Ву

Inga Hildreth

Signature

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Hon. Commissioner for Patents

Box: Missing Parts

Washington, D.C. 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS

Sir:

In response to the Notice to File Missing Parts of Application dated <u>December 7, 2001</u>, enclosed herewith please find the following:

- * Declaration and Power of Attorney;
- * One Month Extension with check for \$110;
- * Copy of Notice to File Missing Parts of Nonprovisional Application;
- * Check for \$1038 to cover the large entity filing fee (\$740) plus 2 extra independent claim(s) (\$168), and late filed Declaration surcharge (\$130).

Please charge any deficiencies or credit any overpayments to our Deposit Account No. 13-4550.

It is submitted that the enclosed documents satisfy all outstanding filing requirements in this application. A Filing Receipt is respectfully requested.

Respectfully Submitted.

Andrew F. Young, Esq.

Reg. No. 44,001

Attorney for Applicant

MORRISON LAW FIRM

145 North Fifth Avenue Mount Vernon, New York 10550 (914) 667-6755

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APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/992,540

11/06/2001

Naoki Takizawa

M1971-105

MORRISON LAW FIRM 145 North Fifth Avenue

Mt. Vernon, NY 10550

CONFIRMATION NO. 4425 FORMALITIES LETTER OC000000007171357*

Date Mailed: 12/07/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing. Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$168.
 - \$168 for 2 independent claims over 3.
- The oath or declaration is missing. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1038.

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740.00 DP 02 FC:101 168.00 OP 03 FC:102 130.00 OP 04 FC:105

A copy of this notice MUST be returned with the reply.

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Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE